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Applicant : Norihiro Kimoto et al.

Art Unit : 1645

Serial No. : 09/855,309

Examiner : Unknown

Filed : May 14, 2001

Title : NOVEL CARBONYL REDUCTASE, METHOD FOR PRODUCING SAID
ENZYME, DNA ENCODING SAID ENZYME, AND METHOD FOR
PRODUCING ALCOHOL USING SAID ENZYME

TECH CENTER 1600/2900

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Under 35 USC §120, this application relies on the earlier filing date of application serial number 09/468,738, filed on December 21, 1999. The references on the enclosed PTO-1449 were submitted to and/or cited by the Office in the prior application and, therefore, are not provided in this application:

This statement is being filed before the receipt of a first Office action on the merits.

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Respectfully submitted,

Date:

9-17-01

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Substitute Form PTO-1449
(Modified)

 U.S. Department of Commerce
Patent and Trademark Office

 Attorney's Docket No.
06501-050002

 Application No.
09/855,309

**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR 1.98(b))

 Applicant
Norihiro Kimoto et al.

 Filing Date
May 14, 2001

 Group Art Unit
1645

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
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	AE						
	AF						
	AJ						
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Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	0 927 755 A2	July 7, 1999	Europe				
	AM	0 967 271 A1	Dec. 29, 1999	Europe				
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	Kita et al., "Cloning, Overexpression, and Mutagenesis of the...", Applied and Environmental Microbiology, Vol. 65, No. 12, pp. 5207-5211, 1999
	AR	Bradshaw et al., "A Pseudomonas sp. Alcohol Dehydrogenase with Broad...", J. Org. Chem., Vol. 57, pp. 1526-1532, 1992
	AS	Shieh et al., "Stereochemical Control of Yeast...", J. Am. Chem. Soc., Vol. 107, pp. 2993-2994, 1985
	AT	Patel et al., "Stereoselective reduction of...", Enzyme Microb. Technol., Vol. 14, pp. 731-738, 1992
		Peters et al. "A novel NADH-dependent carbonyl reductase with an extremely broad substrate range from Candida parapsilosis: purification and characterization," <i>Enzyme Microb. Technol.</i> , 15(11):pp. 950-958

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.